

12-57558

CFB  
TRW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jeffrey Capone et al  
Serial No.: 10/652,101  
Filed: August 28, 2003  
Title: METHOD AND FRAMEWORK FOR TRANSACTION SYNCHRONIZATION

Examiner: unassigned

Group Art Unit: 2142

Docket: 038927-0203

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as Express Mail (EV445623151US) with sufficient postage, in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, Alexandria VA 22313 on December 5, 2005

By: \_\_\_\_\_

Richard Ruggiero

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313  
Sir:

We are transmitting herewith the attached:

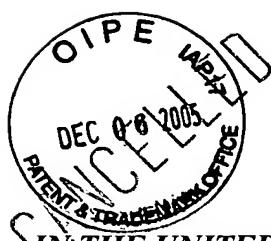
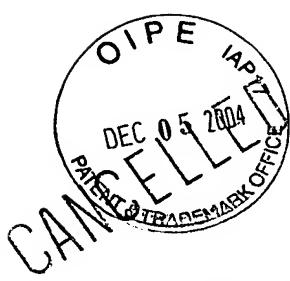
- Information Disclosure Statement Transmittal
- Form PTO/SB/08
- Cited References (2)
- Copy of PCT search report
- Return postcard

Please charge any fees associated with this transmittal to Deposit Account No 50-0872.

Date: December 5, 2005  
FÖLEY & LARDNER LLP  
2029 Century Park East  
35<sup>th</sup> Floor  
Los Angeles, CA 90067-3021  
1-310-277-2223 Office  
1-310-557-8475 Fax

By: \_\_\_\_\_

Name: Ted Rittmaster  
Reg. No.: 32,933  
TRR/rsr



Atty. Dkt. No. 038927-0203

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Jeffrey Capone, et al.  
Title: METHOD AND FRAMEWORK  
FOR TRANSACTION  
SYNCHRONIZATION  
appl. No.: 10/652,101  
Filing Date: 08/28/2003  
Examiner: Not assigned  
Art Unit: 2142

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
EV445623151US (Express Mail Label Number)	December 5, 2005 (Date of Deposit)
<u>Richard Ruggiero</u> (Printed Name)	
(Signature)	

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to  
Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not  
intended as an admission that such document constitutes prior art against the claims of the  
present application or that such document is considered material to patentability as defined in  
37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be  
appropriate to antedate or otherwise remove as a competent reference any document which is  
determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

**STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.704(d) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

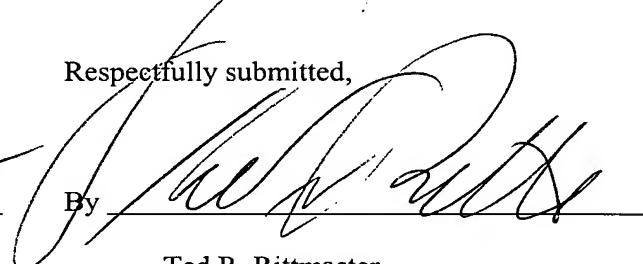
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date

December 5, 2005

By



FOLEY & LARDNER LLP  
Customer Number: 23392  
Telephone: (310) 975-7963  
Facsimile: (310) 557-8475

Ted R. Rittmaster  
Attorney for Applicant  
Registration No. 32,933